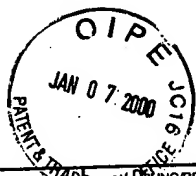


12/910
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	MARK	FILED/RECEIPT DATE	FIRST NAME LAST NAME	KEY DOCKET NO./TITLE
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09/421,575 10/20/99 KASAI NOV 15 1999 I 15162/00910

0212/1110 SIDLEY & AUSTIN

SIDLEY & AUSTIN
717 NORTH HARWOOD
SUITE 3400
DALLAS TX 75201-6507

NOT ASSIGNED

DATE MAILED: 2872

11/10/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant has TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) ☐ non-small entity is \$ 130.00.

1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20. 01/12/2000 NHASSANI 00000122 09421575
\$ _____ for _____ independent claims over 3. 01 FC:105 130.00 OP
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

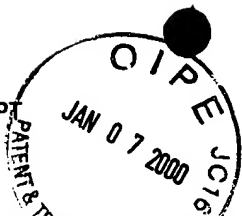
Customer Service Center

01/12/2000 NHASSANI 00000122 09421575

Due Date:

Missing Parts
01/10/00

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/421,575	10/20/99	2872	\$760.00	15162/00910	8	18	2

SIDLEY & AUSTIN
717 NORTH HARWOOD
SUITE 3400
DALLAS TX 75201-6507

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

KAWACHINAGANO-SHI

Applicant(s) ICHIRO KASAI, ~~OSAKA-SHI~~, JAPAN.

FOREIGN APPLICATIONS- JAPAN

10-297991

10/20/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/09/99

TITLE

OPTICAL APPARATUS AND VIEWING OPTICAL SYSTEM THEREOF WHICH IS CAPABLE OF DISPLAYING INFORMATION

PRELIMINARY CLASS: 359

TO BE MAILED 10/21/99
10-297991
RECEIVED

COPY

DATA ENTRY BY: COUPLIN, JACKIE

TEAM: 01 DATE: 11/09/99

(See reverse for new important information)

SECRET



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re
U.S. Application of: Ichiro KASAI

For: OPTICAL APPARATUS AND VIEWING
OPTICAL SYSTEM THEREOF WHICH IS
CAPABLE OF DISPLAYING INFORMATION

U.S. Serial No.: 09/421,575

Filed: October 20, 1999

Group Art Unit: 2872

Examiner: Not Yet Assigned

Assistant Commissioner
For Patents
Attention:
Box Missing Parts
Washington, D.C. 20231

Dear Sir:

EXPRESS MAIL MAILING LABEL NO.: EL195375383US

DATE OF DEPOSIT: JANUARY 7, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the dated indicated above and is addressed to the Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, DC 20231.

Derrick Gordon

Name of Applicant, Assignee, or Registered Representative

Derrick Gordon
Signature

January 7, 2000

Date of Signature

COMPLETION OF APPLICATION

A Notification Form PTO-1533 (copy enclosed) was mailed on November 10, 1999, indicating that the declaration filed with the above-identified U.S. patent application was unexecuted.

Applicant submits herewith the declaration executed by Applicant on November 29, 1999. The executed declaration identifies the specification by title, filing date, and the inventor.

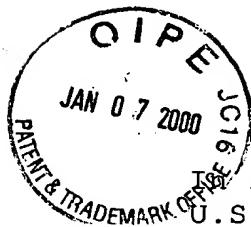
Filed concurrently herewith is a Request for Correction of Filing Receipt requesting correction of the Applicant's residence address.

Applicant also submits herewith a check in the amount of \$130.00 for the 37 C.F.R. 1.16(e) surcharge for the late filing of an executed declaration. If the check is not present, is not sufficient, or not acceptable, please charge any fees (other than an issue fee) required during the pendency of this application to Deposit Account #18-1260. Please credit any overpayment to Deposit Account #18-1260.

Respectfully submitted,

By: James W. Williams
James W. Williams
Registration No. 20,047
Attorney for Applicant

JWW/fis
SIDLEY & AUSTIN
717 North Harwood
Suite 3400
Dallas, Texas 75201-6507
(214) 981-3328 (direct)
(214) 981-3300 (main)
January 7, 2000



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re

U.S. Application of: Ichiro KASAI

For: OPTICAL APPARATUS AND VIEWING
OPTICAL SYSTEM THEREOF WHICH IS
CAPABLE OF DISPLAYING INFORMATION

U.S. Serial No.: 09/421,575

Filed: October 20, 1999

Group Art Unit: 2872

Examiner: Not Yet Assigned

Assistant Commissioner
For Patents
Attention:
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Washington, D.C. 20231

Dear Sir:

EXPRESS MAIL MAILING LABEL NO.: EL195375383US

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Derrick Gordon

Name of Applicant, Assignee, or Registered Representative

Signature

January 7, 2000

Date of Signature

REQUEST FOR CORRECTION OF FILING RECEIPT

Applicant hereby requests that the filing receipt data of the above-identified U.S. patent application as listed on the records of the Patent and Trademark Office be corrected.

Submitted herewith is a copy of the filing receipt indicating in red the following changes:

After "KASAI," delete "OSAKA-SHI" and insert --KAWACHINAGANO-SHI--.

Also submitted herewith is a copy of the executed Declaration and Power of Attorney that was mailed on January 7, 2000, in the above-referenced patent application showing the correct residence address for the inventor.

The Declaration, page 4, shows the residential address of Mr. Ichiro KASAI to be "Kawachinagano-Shi, Osaka, Japan". Thus the city of residence is "Kawachinagano-Shi" while the prefecture of the residence is "Osaka".

MPEP 605.02 indicates that the residential address should be "the city and either state or foreign country". Thus, if the Filing Receipt is to be less than that which is recited in the Declaration, it should at least correctly identify the city and country.

Accordingly, it is respectfully requested that the residence address for the inventor concerning the present application be corrected.

It is believed that this request for correction of errors does not require the payment of a fee. However, if it should be determined that a fee (other than the issue fee) is required, please charge any required fee during the pendency of this application to Deposit Account No. 18-1260.

Respectfully submitted,

By: James W. Williams
James W. Williams
Registration No. 20,047
Attorney for Applicant

JWW/fis
SIDLEY & AUSTIN
717 North Harwood
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Dallas, Texas 75201-6507
(214) 981-3328 (direct)
(214) 981-3300 (main)
January 7, 2000